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PATENT TRADEMARK OFFICE

Patent
Case No.: 56390US0020
FEB 24 2003

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: CHIU, RAYMOND C.

Application No.: 09/975385

Group Art Unit: 1732

Filed: October 9, 2001

Examiner: Unknown

Title: METHOD FOR FORMING MICROSTRUCTURES ON A SUBSTRATE USING A MOLD

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, DC 20231

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| CERTIFICATE OF MAILING | |
| I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on: | |
| Feb. 10, 2003 | Signed by: |
| Date | Signed by: |

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449 citing references submitted for consideration by the Examiner. A copy of each cited reference is also enclosed. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

Under 37 CFR § 1.97(e)(1), I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Information Disclosure Statement.

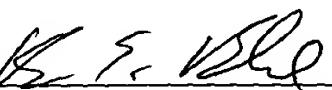
Under 37 CFR § 1.704(d), I hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 CFR § 1.56(c) more than thirty (30) days prior to the filing of this Information Disclosure Statement.

A copy of the Search Report from a foreign counterpart application is enclosed.

It is believed that no fee is due; however, in the event a fee is required, please charge the fee to Deposit Account No. 13-3723.

Respectfully submitted,

Feb. 10, 2003
Date

By: 

Bruce E. Black, Reg. No.: 41,622
Telephone No.: (651) 736-3366

Office of Intellectual Property Counsel
3M Innovative Properties Company
Facsimile No.: 651-736-3833

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| Substitute for form 1449A/PTO (modified) | | Application Number | 09/975385 |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | Filing Date | October 9, 2001 |
| (Use as many sheets as necessary) | | First Named Inventor | Chiu, Raymond C. |
| Page 1 of 1 | | Art Unit | 1732 |
| | | Examiner Name | Unknown |
| | | Attorney Case Number | 56390US002 |

U.S. Patent Documents

| Exam. Init.* | Cite No. | Document Number | Publication Date or Issue Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|--------------|---------------------|----------------------------------|--|---|---|
| | | Doc. Number-(Kind Code if Known) | | | |
| A1 | US- 2001/0007682 A1 | 07/12/2001 | Chiu et al. | | |
| A2 | US- | | | | |
| A3 | US- | | | | |
| A4 | US- | | | | |
| A5 | US- | | | | |
| A6 | US- | | | | |
| A7 | US- | | | | |
| A8 | US- | | | | |
| A9 | US- | | | | |
| A10 | US- | | | | |
| A11 | US- | | | | |

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Foreign Patent Documents

| Exam. Init.* | Cite No. | Foreign Patent Document | | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | Translation (Check if yes) |
|--------------|----------|-------------------------|----------------------------|--------------------------------|---|---|-------------------------------|
| | | Ctry. Code | Number-KindCode (If known) | | | | |
| B1 | EP | 0 893 813 A2 | 01/27/1999 | | | | |
| B2 | | | | | | | |
| B3 | | | | | | | |
| B4 | | | | | | | |
| B5 | | | | | | | |
| B6 | | | | | | | |
| B7 | | | | | | | |

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

| Exam. Init.* | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published |
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| | C1 | |
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| *Examiner: | Date Considered: |
| EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | |